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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of **Dan A. St inberg**

Application No. 09/862,593

Attorney Docket No. Shipley 03-16; DN 51929 (ACT - 135)

Filed: May 23, 2001

For: METHOD FOR MAKING  
INTEGRATED OPTICAL  
WAVEGUIDES AND  
MICROMACHINED FEATURES

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OCT 31 2003  
TC 1700

Examiner: Kripa Sagar

Group Art Unit: 1756

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this Response and accompanying papers are being deposited on **October 23, 2003** with the United States Postal Service as first-class mail in an envelope properly addressed to COMMISSIONER OF PATENTS, Alexandria, VA 22313-1450.

October 23, 2003  
Date of Certificate

Cristin Donahue  
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**Supplemental Statement Under 37 CFR 3.73(b)**

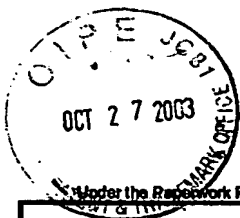
Please find submitted herewith a supplemental Statement Under 3.73(b) which more particularly sets forth the chain of title to the Assignee of the entire right, title, and interest.

Respectfully submitted,

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Shipley Company, L.L.C.

Application No./Patent No.: 09/862,593 Filed/Issue Date: May 23, 2001

Entitled: METHOD FOR MAKING INTEGRATED OPTICAL WAVEGUIDES AND MICROMACHINED FEATURES

Shipley Company, L.L.C., a limited liability company

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Inventor(s) To: Haleos, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 013174, Frame 0328, or for which a copy thereof is attached.

2. From: Haleos, Inc. To: Shipley Company, L.L.C.  
The document was recorded in the United States Patent and Trademark Office at Reel 013181, Frame 0159, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

October 21, 2003  
Date  
(508) 229-7359  
Telephone number

Darryl P. Frickey  
Typed or printed name  
D.P.F.  
Signature

Assistant Secretary and Director  
Title of Patents

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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